

FORM PTO-1190  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

S004-4200 (PCT)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/762075

INTERNATIONAL APPLICATION NO.

PCT/JP99/04248

INTERNATIONAL FILING DATE

4 August 1999 (04.08.99)

PRIORITY DATE CLAIMED

5 August 1998 (05.08.98)

TITLE OF INVENTION INFORMATION RECORDING MEDIUM AND INFORMATION REPRODUCING  
APPARATUS AND INFORMATION RECORDING/REPRODUCING APPARATUS

APPLICANT(S) FOR DO/EO/US

Yasuyuki MITSUOKA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
Form PCT/1B/301  
Form PCT/1B/304  
Form PCT/1B/306  
Form PCT/1B/308  
Form PCT/1B/338  
Patent Cover Page  
Form PCT/ISA/210

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) <div style="font-size: 24pt; font-weight: bold;">09/762075</div>		INTERNATIONAL APPLICATION NO. <div style="font-size: 24pt; font-weight: bold;">PCT/JP99/04248</div>		ATTORNEY'S DOCKET NUMBER <div style="font-size: 24pt; font-weight: bold;">S004-4200 (PCT)</div>	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$970.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT. =</b></div>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div style="border: 1px solid black; padding: 5px;">\$ 860.00</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	18 - 20 =	0	X \$18.00	\$	
Independent claims	13 - 3 =	10	X \$78.00	\$ 800.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$ 270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1930.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$1930.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$1930.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$1930.00	
				Amount to be: refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 1930.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 01-0268. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**Bruce L. Adams, Esq.**  
 Adams & Wilks  
 50 Broadway-31st Fl.  
 New York, NY 10004

**Bruce L. Adams**

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NAME

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25,386

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REGISTRATION NUMBER

300 38'd PCT/PTO 0 1 FEB 2001  
09/762075

Re: New patent application of Yasuyuki MITSUOKA et al.

for INFORMATION RECORDING MEDIUM AND INFORMATION REPRODUCING  
APPARATUS AND INFORMATION RECORDING/REPRODUCING  
APPARATUS

comprising transmittal letter, title, specification (pgs.  
1-87), 18 claims (pgs.77-87), abstract (pg.88), 11 sheets of  
formal drawings containing thereon Figs. 1-12, Forms  
PCT/1B/301, PCT/1B/304, PCT/1B/306; PCT/1B/308, PCT/1B/338  
and PCT/ISA/210, Patent Cover Page, express mail  
certification and check in the amount \$1930.00

Attorney's Docket No: S004-4200(PCT)

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EH 332 181 262 US

Date of Deposit: February 1, 2001

I hereby certify that this paper or fee is being deposited  
with the United States Postal Service "Express Mail Post Office  
to Addressee" service under 37 CFR §1.10 on the date indicated  
above and is addressed to Box PCT, ASSISTANT COMMISSIONER OF  
PATENTS, Washington, DC 20231.

  
MICHAEL RUAS